

# Audit and Risk Committee

20 January 2020

**Time** 2.00 pm **Public Meeting?** YES **Type of meeting** Regulatory

**Venue** Committee Room 3 - Civic Centre, St Peter's Square, Wolverhampton WV1 1SH

## Membership

**Chair** Cllr Alan Butt (Lab)  
**Vice-chair** Cllr Jonathan Yardley (Con)

### Labour

Cllr Mary Bateman  
Cllr Philip Bateman MBE  
Cllr Craig Collingswood  
Cllr Roger Lawrence  
Cllr Barbara McGarrity QN  
Cllr Lynne Moran

### Independent Member

Mr Mike Ager  
Mr John Humphries

Quorum for this meeting is two Councillors.

## Information for the Public

If you have any queries about this meeting, please contact the Democratic Services team:

**Contact** Kirsty Tuffin  
**Tel/Email** Tel: 01902 552873 or [kirsty.tuffin@wolverhampton.gov.uk](mailto:kirsty.tuffin@wolverhampton.gov.uk)  
**Address** Democratic Services, Civic Centre, 1st floor, St Peter's Square,  
Wolverhampton WV1 1RL

Copies of other agendas and reports are available from:

**Website** <https://wolverhamptoninternet.moderngov.co.uk>  
**Email** [democratic.services@wolverhampton.gov.uk](mailto:democratic.services@wolverhampton.gov.uk)  
**Tel** 01902 550320

Please take note of the protocol for filming, recording and use of social media in meetings, copies of which are displayed in the meeting room.

Some items are discussed in private because of their confidential or commercial nature. These reports are not available to the public.

# Agenda

## Part 1 – items open to the press and public

*Item No.*    *Title*

### MEETING BUSINESS ITEMS

- 1            **Apologies for absence**
- 2            **Declaration of interests**
- 3            **Minutes of previous meetings** (Pages 5 - 8)  
[To approve the minutes from the previous meeting.]
- 4            **Matters arising**  
[To consider any matters arising from the minutes]

### DECISION ITEMS

- 5            **External Audit Communication** (Pages 9 - 42)  
[To receive the External Audit Communication report.]
- 6            **External Audit Progress Report and Update** (Pages 43 - 60)  
[To receive an update and the external audit progress report.]
- 7            **Strategic Risk Register** (Pages 61 - 94)  
[To receive an update on the Strategic Risk Register.]
- 8            **Internal Audit Progress Update** (Pages 95 - 110)  
[To note the contents of the latest Internal Audit Progress Update.]
- 9            **Annual Governance Statement - Action Plan Update** (Pages 111 - 120)  
[To receive an action plan update.]
- 10           **Payment Transparency** (Pages 121 - 124)  
[To receive an update on the Council's current position with regards to the publication of all its expenditure.]
- 11           **Tendering Procedures for Major Capital Projects** (Pages 125 - 164)  
[To note the tendering procedures for major capital projects.]
- 12           **Counter Fraud Update** (Pages 165 - 202)  
[To note the contents of the latest Audit Services Counter Fraud update.]

13 **Exclusion of the press and public**

[To pass the following resolution:

That in accordance with Section 100A(4) of the Local Government Act 1972 the press and public be excluded from the meeting for the following items of business as they involve the likely disclosure of exempt information on the grounds shown below]

**PART 2 - EXEMPT ITEMS, CLOSED TO PRESS AND PUBLIC**

14 **Investigations Update** (Pages 203 - 206)

[To note the current position regarding Audit Investigations.]

Information relating to any individual.  
Information which is likely to reveal the identity of an individual.  
Information relating to the financial or business affairs of any particular person (including the authority holding that information) Para (1, 2, 3)