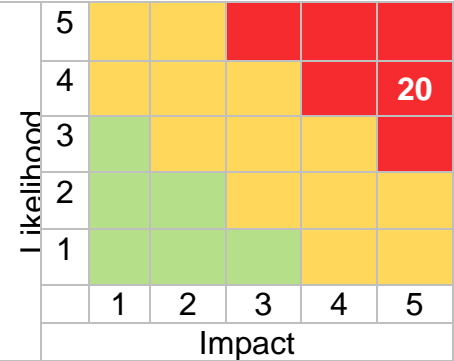
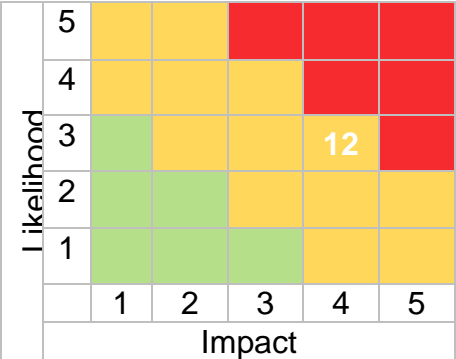


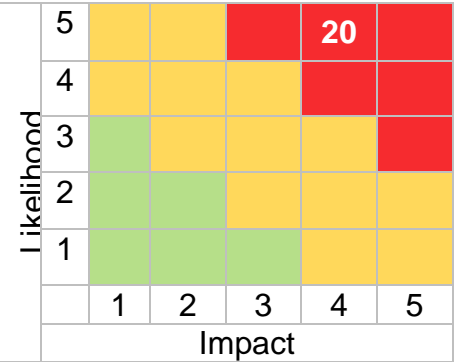
Appendix 1 – Covid-19 Risk Register

May 2020

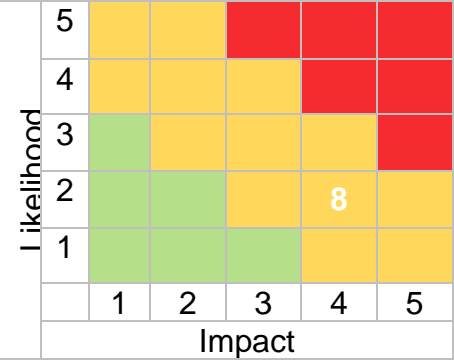


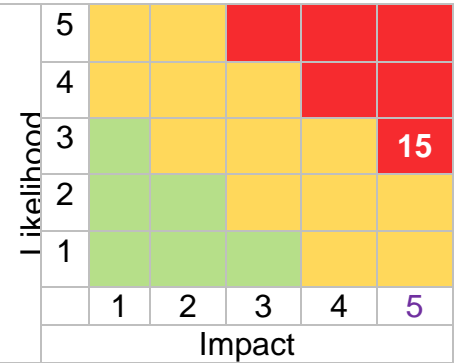
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks |
|------------|--|--------------------------|---|--|-------------------------|
| 1 04/20 | <p>Budgetary Pressure 2020-2021</p> <p>There is a risk that the Council will need to spend more than we have in tackling Covid-19 (above and beyond specific Covid-19 grants received). There is a legal requirement to operate within budget.</p> <p>Risk owner: Claire Nye Cabinet Member: Cllr Louise Miles</p>  | 20 Red | 10 Amber *On-Going | The Government has provided the Council with additional funding to tackle Covid-19. However, the score of this risk is unlikely to reduce until more details regarding this funding are made available. Work is ongoing within the Council's Finance Team alongside Senior Managers and Budget Holders to monitor spending and regular reports are being provided to the Leader, Cabinet Member and the Strategic Executive Board (SEB). A detailed financial report which includes Covid-19 impacts on the 2020-2021 budget will be prepared for Cabinet Panel in due course. | 4 – MTFS |

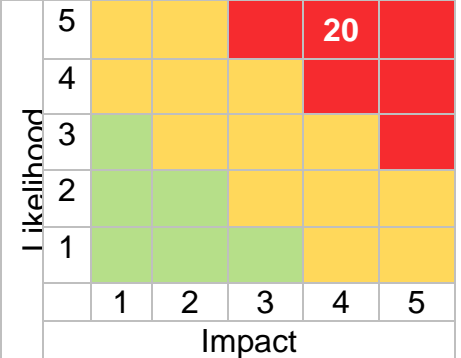
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks |
|------------|--|--------------------------|---|---|-------------------------|
| 2 04/20 | <p>Impact on Residents</p> <p>There is potential impact on the health of Wolverhampton residents due to inaction by the Council.</p> <p>Risk owner: John Denley Cabinet Member: Cllr Jasbir Jaspal</p>  | 12 Amber | 8 Amber *On-Going | <p>Measures taken by the Council to mitigate this risk include but are not limited to the following;</p> <ul style="list-style-type: none"> Regular communications with residents which are ongoing. This includes sustained contact with residents that the Council have identified as vulnerable. Establishment of Wolverhampton Food and Medicines Hub for people at high risk and struggling families. The opening of a City hotel to accommodate homeless individuals. Development of online exercise sessions from WV-Active. <p>In addition, the Council's Public Health Team are supporting the City's Health and Social Care Teams in a number of ways which include;</p> <ul style="list-style-type: none"> Predicting the spread and how it will affect different parts of the 'system'. Developing and launching testing facilities, which include the community swabbing team and drive-through testing facilities. Supporting social care providers with advice on PPE, infection prevention and outbreak control. Contact tracing – test, track and trace. | N/A |

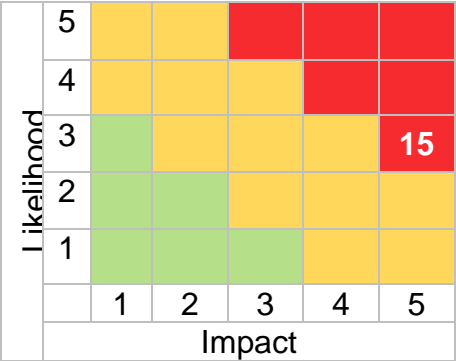
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 3 04/20 | <p>Businesses Closing</p> <p>Loss of Business, impacting on the overall regeneration of the City.</p> <p>Risk owner: Richard Lawrence Cabinet Member: Cllr Harman Banger</p>  <table border="1" data-bbox="226 671 678 1034"> <tr> <td>5</td> <td></td> <td></td> <td></td> <td>20</td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="5">Impact</td> </tr> </table> | 5 | | | | 20 | | 4 | | | | | | 3 | | | | | | 2 | | | | | | 1 | | | | | | | 1 | 2 | 3 | 4 | 5 | | Impact | | | | | 20 Red | 8 Amber *On-Going | <p>This will become an increasingly more significant risk the longer that Covid-19 restrictions, which impact on local businesses, remain in place. Business support is being provided via the Enterprise team to a number of businesses and sectors in tandem with the financial assistance put in place by Central Government. Work is currently underway to gather business intelligence and understand the wider position across the City to enable a proactive approach that will support growth and aid recovery. However, it is clear that a number of Small Medium Enterprises (SME's) are unlikely to reopen despite this approach which will impact on employment and supply chain activity across the City.</p> | <p>9 – City Centre Regeneration 4 – MTFS 22 – Skills for Work and Inclusive Growth</p> |
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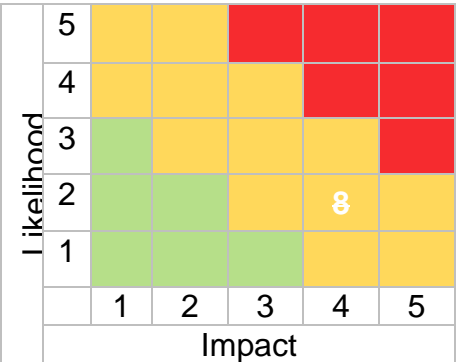
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 4 04/20 | <p>Safeguarding Children Ensuring that the most vulnerable children are safeguarded.</p> <p>Risk owner: Emma Bennett Cabinet member: Cllr John Reynolds</p> <table border="1" data-bbox="224 624 678 986"> <tr> <td rowspan="5" style="writing-mode: vertical-rl; transform: rotate(180deg);">Likelihood</td> <td>5</td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FF0000;"></td> <td style="background-color: #FF0000;"></td> <td style="background-color: #FF0000;"></td> </tr> <tr> <td>4</td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FF0000;"></td> <td style="background-color: #FF0000;"></td> </tr> <tr> <td>3</td> <td style="background-color: #90EE90;"></td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FFD700; text-align: center;">12</td> <td style="background-color: #FF0000;"></td> </tr> <tr> <td>2</td> <td style="background-color: #90EE90;"></td> <td style="background-color: #90EE90;"></td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FFD700;"></td> </tr> <tr> <td>1</td> <td style="background-color: #90EE90;"></td> <td style="background-color: #90EE90;"></td> <td style="background-color: #90EE90;"></td> <td style="background-color: #FFD700;"></td> <td style="background-color: #FFD700;"></td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;">1</td> <td style="text-align: center;">2</td> <td style="text-align: center;">3</td> <td style="text-align: center;">4</td> <td style="text-align: center;">5</td> </tr> <tr> <td></td> <td></td> <td colspan="5" style="text-align: center;">Impact</td> </tr> </table> | Likelihood | 5 | | | | | | 4 | | | | | | 3 | | | | 12 | | 2 | | | | | | 1 | | | | | | | | 1 | 2 | 3 | 4 | 5 | | | Impact | | | | | 12 Amber | 8 Amber *On-Going | An interim model for Childrens Services has been agreed, the Council have identified children classed as most vulnerable who require contact more frequently and are in regular contact with these children. Work is now beginning to contact children and families categorised as less vulnerable. Reporting methods and processes for escalation have also been established and work is ongoing with the City's school to provide assistance to vulnerable children that have been identified as not attending school. Wolverhampton Safeguarding Together Partnership are holding weekly Covid meetings to identify and respond to potential wider Safeguarding concerns within the City. | 7 – Safeguarding |
| Likelihood | 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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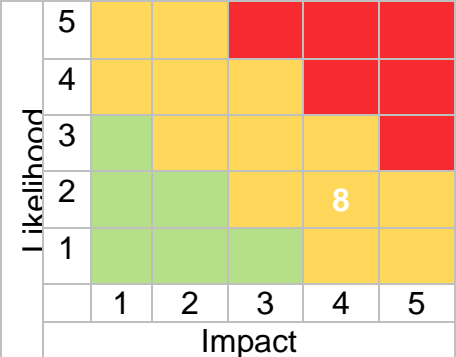
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks |
|------------|--|--------------------------|---|--|-------------------------|
| 5 04/20 | <p>Safeguarding Adults</p> <p>Ensuring that the most vulnerable adults are safeguarded.</p> <p>Risk owner: David Watts Cabinet member: Cllr Linda Leech</p>  | 8 Amber | 8 Amber *Ongoing | <p>The Care Act easements temporary operating procedure is in the process of being agreed - the Council's approach is that Care Act easements will only be implemented as a last resort when all other options have been explored. Service providers have been contacted to identify whether they can continue to provide services and assessments are being undertaken virtually. It is noted that we are now over two months into the Covid-19 pandemic and there has been no realisation of the potential failure to protect vulnerable adults.</p> | 7 – Safeguarding |

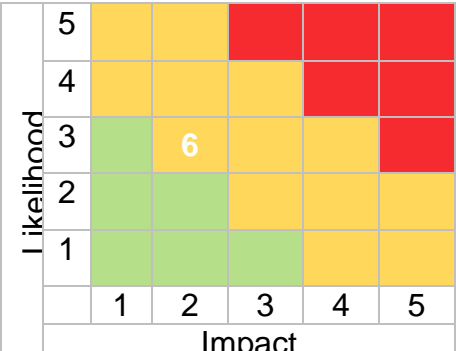
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks |
|------------|---|--------------------------|---|---|-------------------------|
| 6 04/20 | <p>Reputation / Loss of Public Trust and Confidence</p> <p>There is a risk that the Council loses public trust and confidence by; i) failing to respond to the needs of local people, especially vulnerable ii) failure to warn and inform the public leading to impact upon the health of residents and businesses.</p> <p>Risk owner: Tim Johnson Cabinet Member: Cllr Ian Brookfield</p>  | 15 Red | 10 Amber *On-Going | The Council recognises that there is an uncertainty in the current climate and has engaged in comprehensive and regular communications with the residents of Wolverhampton. There has been a comprehensive assessment of levels of vulnerability and the Council's approach has been and will continue to be tailored to meet residents needs based on evidence gathered. | N/A |

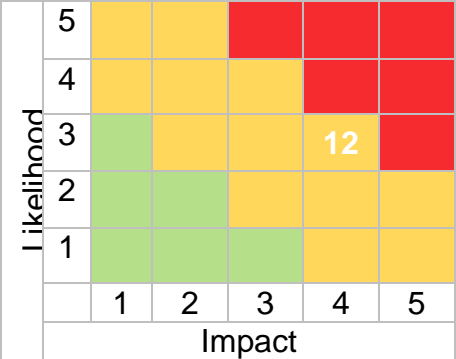
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks |
|-------------|---|--------------------------|---|--|-------------------------|
| 07 04/20 | <p>Medium Term Budgetary Pressure</p> <p>There is a risk that the medium-term impact of Covid-19 will place significant pressure on the Medium Term Financial Plan.</p> <p>Risk owner: Claire Nye Cabinet Member: Cllr Louise Miles</p>  | 20 Red | 10 Amber *On-Going | <p>Despite the Pandemic beginning in March 2020, the financial impacts of Covid-19 are likely to affect the Council's finances in the Medium Term.</p> <p>As reported under risk 1 above, work is ongoing within the Council's Finance Team alongside Senior Managers and Budget Holders to monitor spending and regular reports are being provided to the Leader, Cabinet Member and the Strategic Executive Board (SEB). A detailed financial report which includes Covid-19 impacts on the Medium Term Financial Plan will be prepared for Cabinet Panel in due course.</p> | 4 - MTFS |

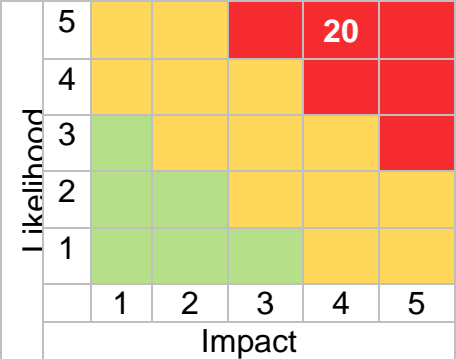
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-------------|---|--------------------------|---|---------|-------------------------|--|--|---|--|--|--|--|--|---|--|--|--|--|----|---|--|--|--|--|--|---|--|--|--|--|--|--|---|---|---|---|---|--|--------|--|--|--|--|------------------|---------------------------------|--|-----|
| 08 04/20 | <p>Supply Chain</p> <p>There is a risk that we may lose key suppliers (ICT and Social Care Suppliers are of particular concern).</p> <p>Risk Owner: Claire Nye Cabinet Member: Cllr Louise Miles</p>  <table border="1" data-bbox="224 667 678 1029"> <tr><td>5</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>4</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>3</td><td></td><td></td><td></td><td></td><td>15</td></tr> <tr><td>2</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>1</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr> <tr><td></td><td colspan="5">Impact</td></tr> </table> | 5 | | | | | | 4 | | | | | | 3 | | | | | 15 | 2 | | | | | | 1 | | | | | | | 1 | 2 | 3 | 4 | 5 | | Impact | | | | | 15 Red | 10 Amber *On-Going | <p>The Council are actively working to support at risk suppliers. In accordance with Government guidance (PPN 02/20, issued in March 2020) the Council is working to:</p> <ul style="list-style-type: none"> Review its contract portfolio and identify suppliers at risk, Continue to pay at risk suppliers as normal until the end of June 2020, even if services are disrupted or suspended, Put in place measures to support supplier cashflow and ability to retain staff and supply chains. <p>SEB has approved the immediate payment of invoices and instructed staff that invoices should be processed for payment as quickly as possible, this includes undertaking goods receipting promptly to avoid delays.</p> <p>Further support will be provided to suppliers deemed to be at risk during the current crisis, support will be provided on a case basis and focus on ensuring that suppliers are able to maintain or resume normal services during the current crisis. Support measures put in place will be approved by the Chief Accountant and then passed to the Director of Regeneration for final sign-off.</p> | N/A |
| 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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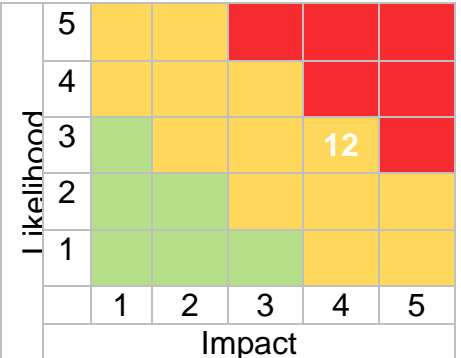
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 9 04/20 | <p>Service Providers – Adult Social Care</p> <p>There is a risk that we may lose service providers and not be able to maintain adequate service provision</p> <p>Risk owner: David Watts Cabinet Member: Cllr Linda Leach</p>  <table border="1" data-bbox="224 746 678 1109"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>4</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2</td> <td></td> <td></td> <td></td> <td>8</td> <td></td> </tr> <tr> <td>1</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="5">Impact</td> </tr> </table> | Likelihood | 5 | | | | | | 4 | | | | | | 3 | | | | | | 2 | | | | 8 | | 1 | | | | | | | 1 | 2 | 3 | 4 | 5 | | Impact | | | | | 8 Amber | 8 Amber *On-Going | Daily communications with providers to assess ability to provide services, review case numbers, staffing levels and identify PPE requirements are ongoing to ensure that adequate service provision can be maintained. | N/A |
| Likelihood | 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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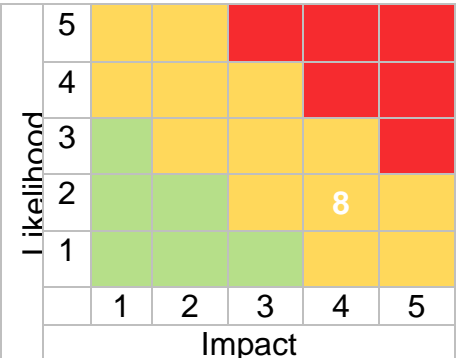
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 10 04/20 | <p>Governance / Decision Making</p> <p>There is a risk that impacts from decisions made at speed could leave the Council open to complaint, litigation or financial penalty at a later date.</p> <p>Risk owner: David Pattison Cabinet Member: Cllr Stephen Simkins</p>  <table border="1" data-bbox="224 786 678 1144"> <tr> <td rowspan="6">Likelihood</td> <td>5</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>Red</td> <td>Red</td> </tr> <tr> <td>4</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>Red</td> </tr> <tr> <td>3</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> </tr> <tr> <td>2</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>8</td> <td>Yellow</td> </tr> <tr> <td>1</td> <td>Green</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> </tr> <tr> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="5">Impact</td> </tr> </table> | Likelihood | 5 | Yellow | Yellow | Red | Red | Red | 4 | Yellow | Yellow | Yellow | Red | Red | 3 | Green | Yellow | Yellow | Yellow | Red | 2 | Green | Green | Yellow | 8 | Yellow | 1 | Green | Green | Green | Yellow | Yellow | | 1 | 2 | 3 | 4 | 5 | | Impact | | | | | 8 Amber | 8 Amber *On-Going | <p>Robust decision-making procedures are being established alongside strong risk management. Regular director and member meetings are ongoing to provide challenge. In addition, the Council are beginning to hold virtual Committee meetings for elected members. Decision and risk logs have been established for all work streams and are reviewed regularly. The Council's Data Protection Officer is a member of the Governance Programme and is working to ensure DPO issues are taken into account when decisions are made.</p> | 37 - Governance of Major Capital Projects, 3 - Information Governance |
| Likelihood | 5 | | Yellow | Yellow | Red | Red | Red | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 4 | | Yellow | Yellow | Yellow | Red | Red | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 3 | | Green | Yellow | Yellow | Yellow | Red | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 2 | | Green | Green | Yellow | 8 | Yellow | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 1 | | Green | Green | Green | Yellow | Yellow | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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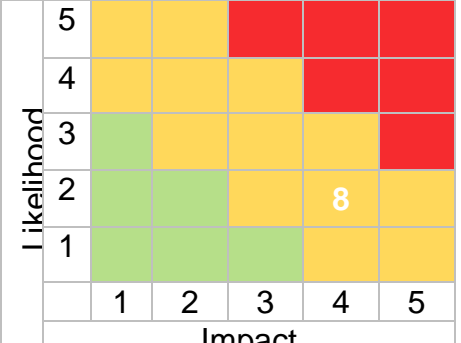
| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 11 04/20 | <p>Fraud / Misappropriation</p> <p>The Council is open to fraud and misappropriation due to changes in legislation / speed that government grants need to be validated and distributed.</p> <p>Risk Owner: Claire Nye / David Pattison Cabinet Member: Cllr Stephen Simkins</p>  <table border="1" data-bbox="224 805 678 1157"> <tr><td></td><td>5</td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>4</td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>3</td><td></td><td>6</td><td></td><td></td></tr> <tr><td></td><td>2</td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>1</td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td></td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr> <tr><td></td><td></td><td colspan="5">Impact</td></tr> </table> | | 5 | | | | | | 4 | | | | | | 3 | | 6 | | | | 2 | | | | | | 1 | | | | | | | 1 | 2 | 3 | 4 | 5 | | | Impact | | | | | 6 Amber | 4 Amber June 2020 | <p>£55m provided by central government is to be paid out to 4,000+ businesses in a very short time frame. In some cases, to businesses and sole traders where the Council only holds limited information. There is a risk that payments may be made in error or as a result of fraud. However, due to the sum of each grant, individually the impact of a small % of such cases will not have a significant impact. While there are a range of pre-payment checks, the Department of Business, Energy and Industrial Strategy continue to push for payments to be made as soon as possible with an emphasis on post-payment checks. However, we will continue to take a risk-based approach on the level of pre and post checks undertaken.</p> | N/A |
| | 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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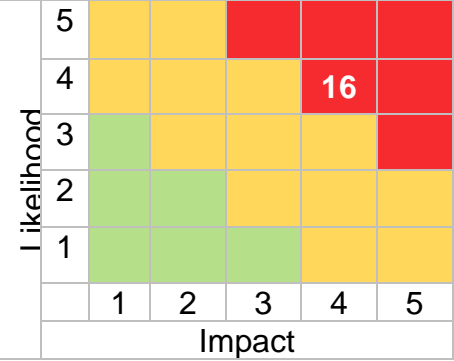
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| 12 4/20 | <p>Employee Support – Health and Wellbeing</p> <p>Impact on staff health and wellbeing due to unprecedented levels of service demand / changes to working practices. Lack of Personal Protective Equipment (PPE) for frontline workers is a particular concern.</p> <p>Risk Owner: Tim Johnson Cabinet Leader: Cllr Ian Brookfield</p>  <table border="1" data-bbox="224 821 678 1181"> <tr> <td></td> <td>5</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>Red</td> <td>Red</td> </tr> <tr> <td></td> <td>4</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>Red</td> </tr> <tr> <td>Likelihood</td> <td>3</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> <td>12</td> <td>Red</td> </tr> <tr> <td></td> <td>2</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> </tr> <tr> <td></td> <td>1</td> <td>Green</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> </tr> <tr> <td></td> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td colspan="6">Impact</td> </tr> </table> | | 5 | Yellow | Yellow | Red | Red | Red | | 4 | Yellow | Yellow | Yellow | Red | Red | Likelihood | 3 | Green | Yellow | Yellow | 12 | Red | | 2 | Green | Green | Yellow | Yellow | Yellow | | 1 | Green | Green | Green | Yellow | Yellow | | | 1 | 2 | 3 | 4 | 5 | | Impact | | | | | | 12 Amber | 8 Amber *On-Going | <p>Employee well-being was a Council priority prior to Covid-19 and continues to be a priority now. Strong communications are regularly issued to staff. Adjustments are being made to facilitate homeworking, the Council have launched an online employee wellbeing hub and a comprehensive programme of support was made available to staff during mental health week (18 - 24 May 2020).</p> <p>Significant work is ongoing to ensure staff have the correct PPE, despite national issues with regards to supply. The Council have asked local businesses to assist with the supply of PPE in the City and have also purchased 3/4 million boxes of PPE, this is 7 times more than we have received from Central Government.</p> <p>Following Government guidance issued on 10 May 2020 the Council are consulting with employees, unions and the health and safety team before approval is sought to re-start services, in order to ensure that both employees and the public are protected.</p> | 28 – Health and Safety |
| | 5 | Yellow | Yellow | Red | Red | Red | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 4 | Yellow | Yellow | Yellow | Red | Red | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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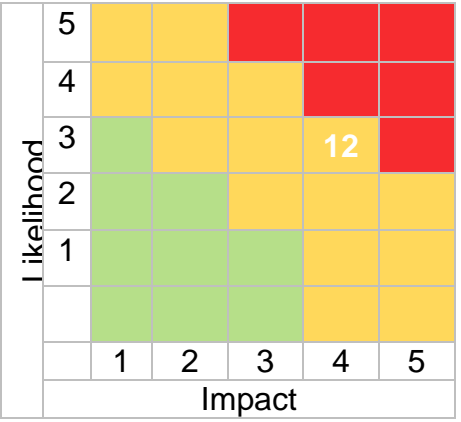
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| 13 | <p>WV Living</p> <p>There is potential for significant reputational and financial risk to the Council as a result of the financial impacts on WV Living as a result of Covid-19.</p> <p>Risk Owner: Tim Johnson Cabinet Leader: Cllr Ian Brookfield</p>  <table border="1" data-bbox="224 746 678 1106"> <tr> <td rowspan="5">Likelihood</td> <td>5</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>20</td> <td>Red</td> </tr> <tr> <td>4</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> <td>Red</td> </tr> <tr> <td>3</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> <td>Red</td> </tr> <tr> <td>2</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> <td>Yellow</td> </tr> <tr> <td>1</td> <td>Green</td> <td>Green</td> <td>Green</td> <td>Yellow</td> <td>Yellow</td> </tr> <tr> <td></td> <td></td> <td>1</td> <td>2</td> <td>3</td> <td>4</td> <td>5</td> </tr> <tr> <td></td> <td></td> <td colspan="5">Impact</td> </tr> </table> | Likelihood | 5 | Yellow | Yellow | Red | 20 | Red | 4 | Yellow | Yellow | Yellow | Red | Red | 3 | Green | Yellow | Yellow | Yellow | Red | 2 | Green | Green | Yellow | Yellow | Yellow | 1 | Green | Green | Green | Yellow | Yellow | | | 1 | 2 | 3 | 4 | 5 | | | Impact | | | | | 20 Red | 12 Amber *On-Going | WV Living Directors have now identified options and opportunities to help to mitigate the immediate and potential medium-term impact of the Coronavirus Crisis on the WV Living Business Plan. As a result, the Chair of the Board of Directors has written formally to the Council to request financial support during the short/medium term while the Business Plan is updated to reflect these options and opportunities (this will take a number of months to achieve as the impact of the crisis on the housing market evolves and is better understood). | N/A |
| Likelihood | 5 | | Yellow | Yellow | Red | 20 | Red | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 4 | | Yellow | Yellow | Yellow | Red | Red | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 1 | Green | Green | Green | Yellow | Yellow | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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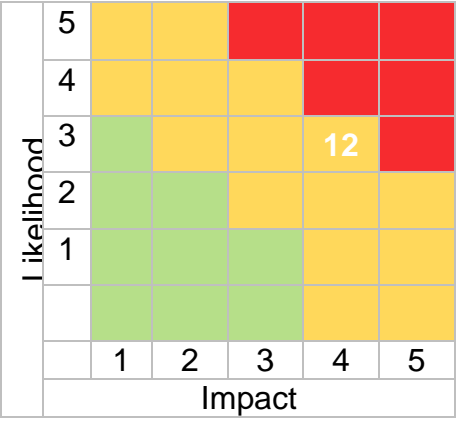
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| 13 4/20 | <p>Employee Capacity</p> <p>There is a risk to the provision of statutory functions / functions required as part of the Council's Covid-19 response due to employee capacity issues - including impact of sickness / caring responsibilities.</p> <p>Risk Owner: Tim Johnson Cabinet Leader: Cllr Ian Brookfield</p>  <table border="1" data-bbox="224 798 683 1157"> <tr><td>5</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>4</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>3</td><td></td><td></td><td></td><td>12</td><td></td></tr> <tr><td>2</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>1</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr> <tr><td></td><td colspan="5">Impact</td></tr> </table> | 5 | | | | | | 4 | | | | | | 3 | | | | 12 | | 2 | | | | | | 1 | | | | | | | 1 | 2 | 3 | 4 | 5 | | Impact | | | | | 12 Amber | 8 Amber *On-Going | Detailed analysis has been conducted and systems have been established in order to understand the flexibility of the workforce. Service areas have been prioritised and redeployment capacity assessed, where necessary employees are being redeployed - requirements are reviewed on an ongoing basis. | N/A |
| 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 15 4/20 | <p>ICT Network and Telecommunications / Security Issues</p> <p>There is a risk of service disruption due to failures in ICT and telecommunications systems (including the ability to access the Council's secondary data centre). There is also an increased risk of cyber security threats as a result of Covid-19.</p> <p>Risk Owner: Charlotte Johns Cabinet Leader: Cllr Louise Miles</p>  <table border="1" data-bbox="224 965 683 1324"> <tr><td></td><td>5</td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>4</td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>3</td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>2</td><td></td><td></td><td>8</td><td></td></tr> <tr><td></td><td>1</td><td></td><td></td><td></td><td></td></tr> <tr><td>Likelihood</td><td></td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr> <tr><td></td><td></td><td colspan="5">Impact</td></tr> </table> | | 5 | | | | | | 4 | | | | | | 3 | | | | | | 2 | | | 8 | | | 1 | | | | | Likelihood | | 1 | 2 | 3 | 4 | 5 | | | Impact | | | | | 8 Amber | 4 Amber *On-Going | Regular and ongoing maintenance and review of ICT systems and technical defences is ongoing - this includes specific actions such as the decision to block Zoom from the corporate network and the installation of necessary updates. System back-ups continue to be undertaken on a regular basis. | 23 – Cyber Security |
| | 5 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks |
|------------|---|--------------------------|---|--|---|
| 16 4/20 | <p>Education Provision</p> <p>There are risks to education provision in the city as a result of school closures, these include, but are not limited to early years sufficiency (closure of providers), pupil performance 2020-21 and management of transitions back into school.</p> <p>Risk Owner: Emma Bennett Cabinet Leader: Cllr Dr Michael Hardacre</p>  | 8 Amber | 4 Amber *On-Going | <p>The Council are providing a programme of ongoing support to the City's schools, planning for transitions has commenced in line with guidance from the Government. The Council are supporting schools to interpret guidance. The risk score (likelihood) is now decreasing as we prepare for the co-ordinated re-opening of schools and settings. The capacity across early years childcare providers is increasing but longer-term impact on smaller providers is possible depending on what happens in September. Performance, as judged nationally in summer 2021 could impact on pupils individually but also there are potential implications for the City and our resources. However, recent steps, such as a move towards priority year groups having more face to face contact with schools, laptops for year 10 children and the ongoing drive for our most vulnerable children to attend school, means that the risk will be mitigated somewhat.</p> | 22 - Skills for Work and Inclusive Growth |

| Risk ref | Risk title and description | Current score (May 2020) | Target score and date (where appropriate) | Comment | Link to Strategic Risks | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 17 4/20 | <p>Impact on-going projects and programmes</p> <p>There is a risk to the Council's ongoing projects and programmes in terms of both timings and costs due to the impacts of Covid-19. Furthermore, original business cases may no longer align with future strategic aims.</p> <p>Risk Owner: Laura Phillips Cabinet Leader: Cllr Harman Bangor</p>  <table border="1" data-bbox="226 895 678 1257"> <tr><td>5</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>4</td><td></td><td></td><td></td><td>16</td><td></td></tr> <tr><td>3</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>2</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>1</td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td></td><td>1</td><td>2</td><td>3</td><td>4</td><td>5</td></tr> <tr><td></td><td colspan="5">Impact</td></tr> </table> | 5 | | | | | | 4 | | | | 16 | | 3 | | | | | | 2 | | | | | | 1 | | | | | | | 1 | 2 | 3 | 4 | 5 | | Impact | | | | | 16 Red | 12 Amber *On-Going | <p>With regards to the Council's major capital projects; the strategic pipeline and the related investment funding opportunities, the Infrastructure for Growth Board met on 22 April to consider the impacts of the pauses in work caused by COVID-19 and the changes in longer term objectives. An exercise to describe some of these considerations in terms of changes to key assumptions and associated risks (i.e. reduced appetite in city centre residential development, potential for a property market crash etc) is underway and was discussed again at the board on 18 May.</p> <p>With respect to wider transformational projects, most projects were formally paused with only residual updates taking place, but some are now coming back online. As with the major capital projects, there may need to be an activity to review assumptions within project business cases. The Project Assurance Manager has raised this with the Chair of PAG and a review of existing business cases is underway.</p> | <p>9 – City Centre Regeneration</p> <p>37 - Governance of Major Capital Projects</p> |
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|------------|---|--------------------------|---|---|-------------------------|
| 18 4/20 | <p>PPE There is a risk to Wolverhampton's frontline workers due to national issues regarding the supply of PPE.</p> <p>Risk Owner: David Watts Cabinet Leader: Cllr Linda Leach</p>  | 12 Amber | 8 Amber *On-Going | Regular contact with managers and care providers is ongoing identify frontline workers PPE requirements. It is noted that in order to mitigate this risk the Council have purchased 3/4 million boxes of PPE, this is 7 times more than received from Central Government. | N/A |

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| 19 | <p>Recovery</p> <p>If the Council's recovery planning is not robust the Council and the City will not recover swiftly causing an inability to support citizens and businesses effectively, resulting in significant financial and/or reputational damage.</p> <p>Risk Owner: Charlotte Johns Cabinet Leader: Cllr Ian Brookfield</p>  | 12 Amber | 8 Amber *On-Going | <p>Planning for recovery has now commenced and falls into two categories;</p> <ul style="list-style-type: none"> • Short term operational transition of services from lock down, alongside • Longer term strategic approach to wider recovery within the council and City to shape future service delivery. <p>With regards the phased re-opening of Council services detailed work is ongoing to review and implement Government guidance appropriately. Heads of Service are working in conjunction with the Council's Health and Safety Team to identify how services can move forward safely, with minimal risk to officers and members of the public. This includes considerations such as PPE requirements and work locations.</p> <p>The Council have established a recovery framework which is in the process of being approved. This includes a phased approach, the first phase of which is to establish a robust evidence base to inform recovery through an impact assessment which is proposed through four lenses; services, community, employee and economy. A robust governance structure to oversee the recovery phase has been established and regular reporting to the Strategic Executive Board and elected members is ongoing.</p> <p>Collaboration is also being undertaken with both regional partners and partners from within the City where appropriate, through the regional Recovery Co-ordinating Group.</p> | N/A |
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* The target assessment for these risks remains constant as they are risks which are likely to remain at their current level over the medium term and as such these risks may not have target dates.